

---

**From:** [REDACTED]  
**Sent:** Thursday, April 7, 2016 1:14 AM  
**To:** jeffrey E.  
**Subject:** Re:

Lux regulator gets every yr from every bk the list of " political=y exposed clients " . KAQ was obviously one  
Ariane de Rothschild

On 07 Apr 2016, at 02:15, jeffrey E. <jeevacation@gmail.com <mailto:jeevacation@gmai=.com>> wrote:

the structure having compliance report to the ceo&nb=p; is one of the major issues . since he was dirty, = core problem. how did the lux bank regulator appr=ve

On Wed, Apr 6, 2016 at 5:22 PM, ader@aderfam.ch <ader@aderfam.ch <mailto:ader@aderfam.ch= target=>> wrote:

In any case, impossible to leave bk s internal organisation as is . Wi=l need to reorg & strengthen legal&compliance out of Gva hea=quarters ( likely have one head of legal and one of compliance) and not lo=ally in Lux .  
Also review audit committee who went along and never questioned client=and funds provenance  
And I m conscious i ll get the heat for this ( knowing B demanded that=i make sure not to "unsettle Marc ")

Ariane de Rothschild

On 05 Apr 2016, at 17:19, jeffrey E. <jeevacation@gmail.com <mailto:jeevacation@gmai=.com>> wrote:

little intl attention. lux a=thorities will be very unhappy as well as internal people. . G=SLOW< all decision should be run by kathy , &nb=p; pr , can come back to bite you , if not very careful

--

please note

The information contained in this communication is confidential, may be attorney-client privileged, may constitute inside information, and is intended only for the use of the addressee. It is the property of JEE  
Unauthorized use, disclosure or copying of this

communication or any part thereof is strictly prohibited and may be unlawful. If you have received this communication in error, please notify us immediately by return e-mail or by e-mail to [jeevacation@gmail.com](mailto:jeevacation@gmail.com) <<mailto:jeevacation@gmail.com>> , and destroy this communication and all copies thereof, including all attachments. copyright -all rights reserved

--

please note

The information contained in this communication is confidential, may be attorney-client privileged, may constitute inside information, and is intended only for the use of the addressee. It is the property of JEE

Unauthorized use, disclosure or copying of this communication or any part thereof is strictly prohibited and may be unlawful. If you have received this communication in error, please notify us immediately by return e-mail or by e-mail to [jeevacation@gmail.com](mailto:jeevacation@gmail.com) <<mailto:jeevacation@gmail.com>> , and destroy this communication and all copies thereof, including all attachments. copyright -all rights reserved

--

please note

The information contained in this communication is confidential, may be attorney-client privileged, may constitute inside information, and is intended only for the use of the addressee. It is the property of JEE Unauthorized use, disclosure or copying of this communication or any part thereof is strictly prohibited and may be unlawful. If you have received this communication in error, please notify us immediately by return e-mail or by e-mail to [jeevacation@gmail.com](mailto:jeevacation@gmail.com) <<mailto:jeevacation@gmail.com>> , and destroy this communication and all copies thereof, including all attachments. copyright -all rights reserved