
From: Richard Joslin <[REDACTED]>
Sent: Wednesday, December 3, 2014 5:46 PM
To: jeffrey E.
Subject: FW: Elysium Management LLC: 401(k) Enrollment Information

My understanding from our conversation was that BW (from discussion with LDB) instructed EA to make election for across the board contribution?

From: Sean Bayne [mailto:[REDACTED]]
Sent: Wednesday, December 03, 2014 12:42 PM
To: Richard Joslin
Subject: RE: Elysium Management LLC: 401(k) Enrollment Information

Correct-The non-elective employer contribution is only for Non-Highly Compensated employees. = Thank you!

Sean Bayne

Retirement Plan Specialist-Ambrose

[REDACTED] (Direct)

<<http://www.trinet.com/>>

From: Richard Joslin [mailto:[REDACTED]]
Sent: Wednesday, December 03, 2014 12:34 PM
To: Sean Bayne
Subject: RE: Elysium Management LLC: 401(k) Enrollment Information

Please confirm that the safe harbor non-elective employer contribution is only for eligible NON-HIGHLY compensated employees (ie HIGHLY COMP'd will not get employer 3% contribution)

From: Sean Bayne [mailto:[REDACTED]]
Sent: Wednesday, December 03, 2014 11:01 AM
To: Sean Bayne

Subject: Elysium Management LLC: 401(k) Enrollment Information<=o:p>

Good afternoon,

My name is Sean Bayne. I am a Retirement Plan Specialist here at Ambrose. I am pleased to announce that Elysium Management LLC has adopted the Ambrose 401(k) plan. The plan's effective date is 12/1/2014 —as such, you are eligible to contribute to the plan beginning with the 12/15/2014 payroll.

If you would like to enroll for the 12/15 payroll please do so by 10AM on 12/8. Otherwise, you are free to enroll at anytime.

Enrollment and Account Information:=/u>

To enroll in 401k you should go to [www.HRDataSystems.com](http://www.hrdatasystems.com) <http://www.hrdatasystems.com/> and log-in to your Ambrose account. Once you log-in, you will see a link for MassMutual RetireSmart on the homepage at the bottom. of the screen under "Direct Account Access." Click on this link and you will be taken to the enrollment screen for MassMutual.

To elect an initial contribution rate, and select your fund allocations from the choices offered through the Ambrose plan, simply follow the instructions on screen after you log-in.

You may elect to simply contribute to one fund via a Pre-Tax deferral, or for more options you can follow the More Contribution=Investment Options link.

=/o:p>

You will then have the option of choosing to contribute Pre-Tax or Roth funds to your 401(k) account.

After selecting your deduction rate you will then be directed to choose your investment strategy. There are several options: "...by Myself," "...by Risk" (which includes a Risk Quiz), or "...by Age."

www.retiresmart.com, you can model or request a 401(k) loan, change your deferral percentage, reallocate your 401(k) portfolio, check your rate of return, or take advantage of a number of other features that MassMutual has made available online. For additional information about the Ambrose Plan, please refer to the attached "Employee Memo."

401(k) Employer Contributions:

Beginning in 2015 Elysium Management LLC shall make a Safe Harbor Non-Elective Contribution on behalf of each eligible Non-highly Compensated employee without regard to whether you elect to make 401(k) Contributions, in an amount equal to 3% of your compensation, as defined under the Plan. This contribution is made on an annual basis and is fully vested. For more information please see the attached Safe Harbor Notice.

Please let me know if you have any questions.

Sean Bayne

Retirement Plan Specialist-Ambrose

 (Direct)

<http://www.trinet.com/>