
From: Richard Kahn [REDACTED]
Sent: Friday, September 30, 2016 8:58 PM
To: jeffrey E.
Subject: paul b

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

Begin forwarded message:

From: =/b>"Barrett, Paul S" <[REDACTED]>
Subject: =/b>RE:
Date: =/b>September 30, 2016 at 4:54:40 =M EDT
To: =/b>Richard Kahn <richardkahn12@gmail.com>

We both wish that I could cover you

Paul Barrett | Managing Director | Global Investment Opportunities Group | J.P. Morgan Securities LLC | J.P. Morgan Private Bank | J.P. Morgan Chase Bank A.

320 Park Avenue, 14th Floor, New York, NY 10022 | [REDACTED]

[REDACTED]>

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From: Richard Kahn [REDACTED]
Sent: Friday, September 30, 2016 4:36 PM
To: Barrett, Paul S
Subject: Re:

thank you

shows you the great service (joke) we are getting without you..

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

On Sep 30, 2016, at 4:35 PM, Barrett, Paul S <[REDACTED]> wrote:
[REDACTED]

Thanks

As an FYI - The RBS T's were called last week.

Paul Barrett | Managing Director | Global Investment Opportunities Group | J.P. Morgan Securities LLC |
J.P. Morgan Private Bank | J.P. Morgan Chase Bank A.

320 Park Avenue, 14th Floor, New York, NY 10022

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From: Richard Kahn [mailto:richard.kahn@jpmorgan.com]
Sent: Friday, September 30, 2016 4:30 PM
To: Barrett, Paul S
Subject:

attached is list for your review

thank you

=

Purchase

Quantity

Asset Description

Date

Bank of America 8.125% Pfd 12/29/2049

05/10/11

3,000,000

JP Morgan Chase 7.9% Pfd 4/30/2049

05/10/11

3,000,000

Ipayment Inc 10.25% 5/15/2018

10/02/12

500,000

JPM Tr Flt Rate Income Fund

01/22/13

230,554.049

Citibank Preferred Ser C 5.8%

10/28/14

49,033.00

Citibank Preferred Ser C 5.8%

10/29/14

150,967.00

Citibank Preferred Ser K =.875%

2/3/14

79,000.00

JPM Trust FLT RT Income fund

= 02/13/13

172,709.589

Western Digital Corp 7.375% Matures 4-1-2023

06/09/16

2,000,000

Nationwide Society 6.875% GBP callable 6/20/2019

4/15/14

2,000,000

Charles Schwab 6.0% Preferred \$25 par

7/27/15

40,000

Wells Fargo 5.7% Preferred \$25 par

1/19/16

120,000

Ebay 6% Preferred \$25 par matures 2-1-2056

2/22/16

80,000

Royal Bank Scotland 7.64% Fixed to 9-29-2017 then 3M =ibor +232bp

11/10/15

5,000,000

Goldman Sachs 5.375% Fixed to 5-10-2020 then 392.2 =ver 3 mo libor

6/4/15

5,000,000

Capital One 5.55% Fixed to 9-1-2020 then 380 over 3 =o libor

5/14/15

3,000,000

Bank of America 8.125% Pfd Dec 29 =049

1/10/11

2,000,000

Barclays Bank PLC 7.75% =/10/2023

4/3/13

300,000

Citigroup Inc - Series Q 5.95%

8/6/15

3,000,000

Earthlink Inc 8.875% May 15 2019

10/22/12

146,000

Earthlink Inc 8.875% May 15 2019

10/23/12

600,000

Frontier Communications 8.5% 4/15/2020

7/6/15

3,000,000

General Electric Co GTD Fixed to Floate 4.0% =ec 15 2065

12/3/15

2,469,000

JP Morgan Chase & Co Pfd 7.9% April =0 2049

6/15/11

2,500,000

JP Morgan Chase & Co Pfd 7.9% April =0 2049

6/15/11

2,500,000

LBG Capital 12/29/49

5/21/13

1,903,000

Lloyds Bank 9.875% 12/16/2021

5/10/12

1,500,000

Southern Cal Edison 6.25% Dec 31 =049

1/30/12

3,000,000

GMAC Cap TR I GTD Pfd Sec Ser 2 8

2/22/12

80,000

Royal Bank Scotland Group PLC 7.25% Ser T

10/24/12

40,000

Royal Bank Scotland Group PLC 7.25% Ser T

1/7/13

40,000

Royal Bank Scotland Group PLC 7.25% Ser T

1/14/13

40,000

Washington Mutual Mtg 2004-RA2 cl CB1 var =/25/2033

11/19/13

625,690

Richard Kahn
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New York, NY 10022



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