
From: Richard Kahn [REDACTED]
Sent: Tuesday, May 10, 2016 5:33 PM
To: jeffrey E.
Subject: Fwd: GS preferred

bid ask still 72.75 x 73.75
our order is =n to purchase \$3,000,000 of this bond at 73 shall =e keep at 73 or increase?
please advise
thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington =venue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

Begin forwarded message:

From: =/b>"Pollard, James S" [REDACTED]

Subject: =/b>RE: GS =referred

Date: =/b>May 10, 2016 at 1:30:17 PM =DT

To: =/b>Richard Kahn <richardkahn12@gmail.com>, "Minsky, Julie" [REDACTED]

\$72.75 x \$73.75

There is a current bid of \$500 face @ \$73.625. Do =ou want to hit that?

From: Richard Kahn [REDACTED]
Sent: Tuesday, May 10, 2016 1:27 PM
To: Minsky, Julie
Cc: Pollard, James S

Subject: Re: GS preferred

can you please provide bid ask

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

wrote:

On May 10, 2016, at 12:11 PM, =insky, Julie [REDACTED]

Just called the desk the price is still =3.75

Julie Minsky
Client Associate

Team Darrah | Merrill Lynch Wealth Management
The Park Avenue Office
200 Park Avenue | 34th Floor | New York | New York 10166
[REDACTED] 2. [REDACTED] Direct

julie.minsky@ml.com <mailto:james.pollard@ml.com>

Team Darrah =ebsite <http://fa.ml.com/john_w_darrah>

From: Richard Kahn [REDACTED]
Sent: Tuesday, May 10, 2016 12:01 PM
To: Pollard, James S
Cc: Minsky, Julie
Subject: Re: GS preferred

any update on order and what is current bid ask

thank you

=

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cel [REDACTED]

On May 10, 2016, at 9:36 AM, =ollard, James S <james.pollard@ml.com> <mailto:james.pollard@ml.com> wrote:

B/A \$72.8 x \$73.75

There is a bid for \$1MM face at \$73.75

They said \$73 might be a bit too low. They =uggested possibly \$73.5

From: Richard Kahn [mailto:richardkahn12@gmail.com]
Sent: Monday, May 09, 2016 4:08 PM
To: Pollard, James S
Subject: Re: GS preferred

ok

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cel [REDACTED]

On May 9, 2016, at 4:07 PM, =ollard, James S <james.pollard@ml.com> <mailto:james.pollard@ml.com> wrote:

We'll confirm in the AM.

From: Richard Kahn [mailto:richardkahn12@gmail.com]
Sent: Monday, May 09, 2016 4:05 PM
To: Pollard, James S
Cc: Minsky, Julie
Subject: GS preferred

lets work order tomorrow am

please bid 73 for 3,000,000 =f CUSIP: =81427AA1

thank you

=

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On May 9, 2016, at 3:30 PM, =ollard, James S <james.pollard@ml.com> <mailto:james.pollard@ml.com> wrote:

Getting an indication on from the desk

From: Richard Kahn [mailto:richardkahn12@gmail.com]
Sent: Monday, May 09, 2016 3:28 PM
To: Pollard, James S
Cc: Minsky, Julie
Subject: Re:

CUSIP: =81427AA1 on GS bond we just discussed

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On May 6, 2016, at 2:19 PM, =ollard, James S <james.pollard@ml.com> <mailto:james.pollard@ml.com> wrote:

Thanks for this.

Unfortunately the Gabelli preferred completely sold =ut yesterday and there is nothing new being offered today.

From: Richard Kahn [mailto:richardkahn12@gmail.com]
Sent: Friday, May 06, 2016 2:18 PM
To: Minsky, Julie
Cc: Pollard, James S
Subject:

sorry for delay on form

is that preferred still available = when is offering?

please advise

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

Begin forwarded message:

From: hbrkscanner@gmail.com

Date: May 6, 2016 at 10:04:19 AM EDT

To: richardkahn12@gmail.com

CS 3051ci
[00:17:c8:25:f3:53]

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