
From: Paul Barrett [REDACTED]
Sent: Thursday, September 21, 2017 3:24 PM
To: jeffrey E.
Cc: Richard Kahn
Subject: Cleaning up positions

Jeffrey

I would like to sell the following positions. I either have no view or a negative view on the companies. Please let me know if you would like to keep any of the positions.

Trade Date

Asset Description

Quantity

Cost

Last Price

Current Value

Gain/(Loss)

4/13/15

ALLIANZ SE=PON ADR

5,000

18.01

22.20

\$111,000

\$20,944

1/16/01

ASCENA RETA=L GROUP INC

70,500

21.28
2.07
\$145,935
-\$1,354,065
4/20/15
ADVERUM BIO=ECHNOLOGIES INC
10,000
39.86
3.33
\$33,250
-\$365,356
2/1/17
BIOVERATIV =NC
1,047
19.34
56.42
\$59,072
\$38,818
6/10/15
DISH NETWORK= CORP-A
5,00=
73.00
53.30
\$266,500
-\$98,478
1/16/14

FOUNDATION =EDICINE INC

49,830

25.39

38.65

\$1,925,930

\$660,893

6/10/15

GLAXOSMITHK=INE PLC-SPON ADR

5,00=

43.00

40.28

\$201,400

-\$13,606

5/28/13

SANGAMO THE=APEUTICS INC

50,000

8.44

14.40

\$720,000

\$297,786

3/31/15

WISDOMTREE =NVESTMENTS INC

15000

21.61

8.99

\$134,850

-\$189,308

9/23/16

SEQUENTIAL =RANDS GROUP INC

50,000

8.04

= 2.80

\$140,000

-\$262,134

I am going to top VW up to \$1MM – currently we=have ~\$170K.

Paul

Paul Barrett

Alpha Group Capital LLC

142 W 57th Street, 11th Floor,=New York, NY 10019

(c) <=p>

<mailto: