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**From:** Richard Kahn [REDACTED]  
**Sent:** Tuesday, January 3, 2017 7:20 PM  
**To:** jeffrey E.  
**Subject:** Fwd: LSCKOM 8.75%

just spoke with Paul Barrett and he said he is ok buying up =o 102.25 (spread below 101.25 / 102.50) please =dvise if i should put order in for 2mm of this bond in STC at 102.00 or =etter thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington =venue 4th Floor  
New York, NY 10022  
[REDACTED]  
[REDACTED]

Begin forwarded message:

From: =/b>"Mooney, Stephen R" <[REDACTED]>  
Subject: =/b>LSCKOM =.75%  
Date: =/b>January 3, 2017 at 2:07:19 PM =ST  
To: =/b>Richard Kahn <[REDACTED]>, "Ens, Amanda" <[REDACTED]>

Richard

We can offer \$2mm LSCKOM 8.75% =0/15/2023

101.25 / 102.50

Stephen R. Mooney | Director | Global Liquidity Investment Solutions

Bank of America Merrill Lynch | Merrill Lynch, Pierce, Fenner & Smith Incorporated | Bank of America, N.A.

One Bryant Park, 4th Floor, New York, NY 10036

Telephone (800) 474-9737 or (646) 55-6700 Fax (212) 376-2921

Email: [REDACTED] <mailto:[REDACTED]>

**IMPORTANT NOTICES:**

Please be advised that with respect to transactions in shares of money market funds or any other transactions designated in Rule 10b-10(b)(1), effected for your account, in lieu of an immediate confirmation, Merrill Lynch, Pierce, Fenner & Smith Incorporated will provide you with a monthly or quarterly written statement.

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Tuesday, January 03, 2017 2:00 PM  
To: Ens, Amanda  
Cc: Mooney, Stephen R  
Subject: Re:

thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
[REDACTED]

On Jan 3, 2017, at 1:59 PM, Ens, Amanda <[REDACTED] <mailto:[REDACTED]>>

wrote:

Rich, of course. Adding Stephen and =ill get back to you.

Regards,

Amanda

Amanda Ens

Director | Global Equities

Bank of America Merrill Lynch

Merrill Lynch, Pierce, Fenner & Smith =ncorporated

One Bryant Park | 5th Floor | New York, NY =0036

[REDACTED]

[REDACTED]

From: Richard Kahn [mailto:[REDACTED]]

Sent: Tuesday, January 03, 2017 1:58 PM

To: Ens, Amanda

Subject:

can you please provide a bid / ask for the following =e would like to purchase 2mm of:

LSCCOM 8.75 10/15/203

thank you

=

LSCCOM 8.75 10/15/23

LSCCOM 8.75 10/15/23

LSCCOM 8.75 10/15/23

LSCCOM 8.75 10/15/23

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

[REDACTED]

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