

# BRUCE R. GALLOWAY

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## SUMMARY

Professional portfolio manager with 26 years of experience investing and advising small and micro-cap companies. Recognized leading investor of turnarounds, deep value small and micro-cap stocks. Analytical decision maker who has taken proactive positions in order to enhance stockholder value. Held a seat on the Board of Directors for various companies. Implemented turnarounds of numerous companies resulting in 20% plus performance for the past 15 years. As chairman of the equity committee at Sietel, executed the recapitalization, resulting in a 1500% return for the equity holders. Instrumental in the merging of Radiologics and Primedex. Consequently, Radnet, the successor company, became the largest publicly traded medical imaging company in the U.S. Bought control of Command Security and grew it from 72 million, losing 2 million per year to 145 million making 5 million per year.

## AREAS OF EXPERTISE

- Managing hedge funds of various small and micro-cap distressed companies
- Successfully implement corporate finance activities including; mergers, acquisitions and capital raises
- Positively strategize; restructures, recapitalizations, divestitures and acquisitions
- Keen ability to identify micro-cap stocks with significant upside potential utilizing a catalyst approach
- Communicate effectively with management teams within various micro-cap companies and industries
- 3-time winner of Burnham Securities stock picking contest

## PROFESSIONAL EXPERIENCE

**GALLOWAY CAPITAL MGMT LLC**, New York, NY, Miami, FL 05/2005 – Present

### President CEO

- Launched Strategic Turnaround Equity Program (STEP)
- Raised \$25MM to build a turnaround fund.
- Monitor a portfolio of 50 turnaround companies.
- Held a seat on the board of Directors for; Arthur Treachers, Broadcaster.com, Digital Creative Development Corp, Datametrics, Forward Industries, Miami Subs, United American Healthcare and Co-chairman of Command Security
- Research & analysis of one hundred companies, focused on healthcare services, telecommunications, technology, business services, consumer retail and natural resources.

**BURNHAM SECURITIES**, New York, NY 11/1994 –05/2005

### Managing Director of Galloway Capital Division

- Developed the STEP model for analyzing distressed companies based on the Z score.
- Managed up to \$100MM in assets.
- Published and disseminated research on distressed companies.
- Executed several corporate finance transactions.

## EDUCATION

**Stuyvesant High School**, NY, NY, Specialized math and science studies 1975

**Hobart Williams Smith College**, Geneva, NY, Cum Laude 3.7, B.A. of Economics, Minor in Natural Science 1979

**Stern Business School**, NY, NY, M.B.A. of Finance 1983

License Series 63 and Series 7